## AP3 Rec'd PCT/PTO 24 may $\overline{2000}$

PTO-1390 (Rev. 07-2005) Approved for use through 3/31/2007. OMB 0651-0021 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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### TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

ATTORNEY'S DOCKET NUMBER 12504.558

37 CFR 1.5) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/US04/38530 17 November 2004 25 November 2003 TITLE OF INVENTION **IRCINIASTATINS A AND B** APPLICANT(S) FOR DO/EO/US PETTIT, et al. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). 7. X Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. X An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. 🛛 A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. 15. A substitute specification. 16. A power of attorney and/or change of address letter. 17 A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete. including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Page 1 of 3

A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

A second copy of the published International Application under 35 U.S.C. 154(d)(4).

# AP20 Rec'd PCT/PTO 24 MAY 2006

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U.S. APPLI€ATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NUMBER		
PCT/US04/38530		12504.558		
20. Other items or information:				
The following fees have been submitted	CALCULATIONS	PTO USE ONLY		
21. Sasic national fee (37 CFR 1.492(a))			\$ 300	
22. Examination fee (37 CFR 1.492(c))				
If the written opinion prepared by ISA/US or the internal by IPEA/US indicates all claims satisfy provision All other situations	\$ 0			
23. Search fee (37 CFR 1.492(b))  If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)\$0  Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority			\$ 100	
TOTAL OF 21, 22 and 23 =			400	
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)).  The fee is \$250 for each additional 50 sheets of paper or fraction thereof.				
	xtra Sheets Number of each additional 50 or fraction thereof (round up to a whole number)			
- 100 = 0 /50 =	0	x \$250	\$ 0	I
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).			\$ 0	
CLAIMS NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total claims 8 - 20 =	0	x \$ 50	\$ 0.00	
Independent claims 2 - 3 =	0	x \$200	\$ 0.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)	+ \$360	\$ 0.00		
	TOTAL OF ABOVE	CALCULATIONS =	\$ 400.00	
Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.			200.00	
SUBTOTAL =			\$ 200.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).			\$	
TOTAL NATIONAL FEE =			\$ 200.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +		\$		
TOTAL FEES ENCLOSED =			\$ 200.00	
			Amount to be refunded:	\$
			Amount to be charged	\$



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a. 🗶	A check in the amount of \$ 200.00 to cover the above Please charge my Deposit Account No. 060590 in the amount of	COORDINATE OF THE COORDINATE COOR			
с. 🗶	A duplicate copy of this sheet is enclosed.  The Commissioner is hereby authorized to charge any additional fees was account No. 060590. A duplicate copy of this sheet is enclosed.	which may be required, or credit any overpayment to Deposit			
d. 🗌	Fees are to be charged to a credit card. WARNING: Information on this be included on this form. Provide credit card information and authorize				
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.					
	ROSENFIELD, Susan Stone Fennemore Craig, P.C. 3003 N. Central Avenue, Suite 2600 Phoenix, Arizona 85012-2913 United States of America Tel: (602) 916-5317	SIGNATURE ROSENFIELD, Susan Store NAME 36,287 REGISTRATION NUMBER			
		Dated: May 24, 2006			

### FENNEMORE CRAIG-RIGGC' DE L'IPTO 24 MAY 2805

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May 24, 2006

#### VIA EXPRESS MAIL – EL 997 897680 US

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Re:

Submission of a New United States Patent Application

(U.S. National Stage of PCT/US04/38530

Assignee: ARIZONA BOARD OF REGENTS, a body corporate of the

State of Arizona, acting for and on behalf of ARIZONA STATE

UNIVERSITY

Title: IRCINIASTATINS A AND B

Filing Date: May 24, 2006 Our Reference No.: 12504.558

#### Dear Sir:

We hereby submit the following documents concerning the referenced patent application:

- 1. Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Submission Under 35 U.S.C. § 371;
- 2. Executed Declaration of Inventorship;
- 3. Preliminary Amendment;
- 4. Information Disclosure Statement, Form PTO-1449 and 3 cited references;
- 5. Check in the amount of \$200.00; and
- 6. Postage-paid postcard acknowledging receipt of this letter and the foregoing.

## **10/580572** (AP20 Rec'd PCT/PTO 24 MAY 2006

### FENNEMORE CRAIG, P.C.

Commissioner for Patents May 24, 2006 Page 2

Please accord this application a serial number and a filing date. Applicant claims small entity status. The Commissioner is hereby authorized to charge any additional fee required to Deposit Account No. 060590.

Respectfully submixed,

Sysan/Stone/Rosenfield

Registration No. 36,287

Date of Signature

#### **CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.10**

Express Mail Label No. EL 997 897 680 US	Date of Deposit: May 24, 2006
	· —
I hereby certify that this paper and all documents and any fee referred t	o herein are being deposited on the date
indicated above with the U.S. Postal Service "Express Mail Post Office	to Addressee" service under 37 C.F.R
§ 1.10, postage prepaid and addressed to Mail Stop PCT, Commissioner for	r Patents, P.O. Box 1450, Alexandria, VA
22313-1450.	
$\mathcal{D}/\mathcal{A}$	
Rebecca L. Camelio	May 24, 2006

Rebecca L. Camelio, IP Assistant